

ASEA/AFSCME LOCAL 52 HEALTH BENEFITS TRUST

TRUSTEE AGREEMENT

The undersigned, if elected or appointed as a Trustee of the ASEA/AFSCME Local 52 Health Benefits Trust, agrees to:

- Accept the Trust created and established by the ASEA/AFSCME Local 52 Health Benefits Trust – Trust Agreement as Amended and Restated effective March 28, 2019 (hereafter the Trust Agreement).
- Act as a Trustee and to administer the Health Trust Fund in accordance with all the terms and conditions set forth in the Trust Agreement.
- Read, understand and abide by the provisions outlined in these documents:
 - Trustee Application (ASEA Candidates only)
 - Trustee Responsibilities Overview

Additionally, the undersigned certifies he/she understands that, according to section 411(a) of the Employee Retirement Income Security Act of 1974 (ERISA), which has been adopted as a guideline for the ASEA Local 52 Health Benefits Trust, no person who has been convicted of or has been imprisoned as a result of any of the following may serve as Trustee:

- A conviction of robbery, bribery, extortion, embezzlement, fraud, grand larceny, burglary or arson
- Murder, rape, kidnapping, perjury or assault with intent to kill
- A felony violation of Federal or State law involving substances defined in section 102(6) of the Comprehensive Drug Abuse Prevention and Control Act of 1970
- Any crime described in 9(a)(1) of the Investment Company Act of 1940
- A violation of any provision of ERISA or section 302 of the Labor Management Relations Act of 1947
- A violation of sections 63, 874, 1027, 1503, 1505, 1506, 1510, 1951 or 1954 of Title 18, United States Code
- A violation of the Labor-Management Reporting and Disclosure act of 1959
- Any felony involving abuse or misuse of a position or employment in a labor organization or an employee benefit plan to seek or obtain an illegal gain at the expense of the members of the labor organization or the beneficiaries of the employee benefits plan
- A conspiracy to commit or attempt to commit any such crimes, or a crime in which any of the foregoing crimes is an element

Additionally, no person who has been convicted of or has been imprisoned because of any of the above shall be permitted to serve in any capacity involving decision-making authority or custody or control of the assets of any employee benefits plan. This includes the roles of administrator, fiduciary, officer, trustee, custodian, counsel, agent, employee, representative, advisor or consultant to any employee benefits plan.

- This rule is in effect during or for 13 years after such conviction or after the end of such imprisonment, whichever is later, unless:
 - The sentencing court on motion of the person convicted sets a lesser period of at least three years after the end of such conviction or imprisonment, whichever is later.
 - Or, prior to the end of the period, citizenship rights revoked as a result of such conviction have been fully restored.
 - Or, if the United States District Court for the district in which the offense was committed, approves of service in one of the aforementioned capacities with respect to the Plan.

Further, the undersigned certifies that:

- He/she has not been convicted or imprisoned on account of the aforementioned offenses.

- His/her acceptance herein is not contrary to the provisions of section 411(a) of the Employee Retirement Income Security Act of 1974, which has been adopted as a guideline for the fiduciary standards applicable to the ASEA/AFSCME Local 52 Health Benefits Trust.
- He/she agrees to follow *all* administrative policies and procedures approved and set forth by the Board of Trustees.

Signature: _____ Date: _____

Printed Name: _____

Email: _____

Contact phone: _____

Please keep a copy for your records and submit this original document (by mail, fax or Contact Us) to:

ASEA/AFSCME Local 52 Health Benefits Trust, Attention: Board of Trustees

Mail: 201 W North River Dr. Suite 450, Spokane, WA 99201

Online: aseahealth.org - Contact Us - Trustee Elections

Fax: 509-323-7614

Phone: 866-553-8206 (toll-free)

The ASEA/AFSCME Local 52 Health Benefits Trust is not responsible for any failure of telecommunications technology or equipment. Regardless of the method of submission, candidates are advised to call the Trust Administrator prior to the deadline to confirm that their documents were received.